



Primary Disclosure Statement Jonathan Charles York

Name and registration number of Authorised Financial Adviser: Jonathan Charles York, FSP
No: 42942

Address:

Physical address: Level 1, 556 Cameron Road, Tauranga 3110

Postal address: PO Box 843
Seventh Avenue
Tauranga 3140

Company name: Bay Financial Partners Limited

Telephone number: +64 7 578 3863

Fax number: +64 28 2554 8206

Email address: admin@bayfinancialpartners.co.nz

This disclosure statement was prepared on: 18th September 2017

It is important that you read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

In addition to the information that I must disclose to you in this statement, I must also disclose other information to you in a separate disclosure statement (or statements), including information about the types of services that I provide, the fees that I charge, and any actual or potential conflicts of interest. If I have not provided that information to you at the same time as I give you this statement, I must provide it to you as soon as I can.

What sort of adviser am I?

I am an Authorised Financial Adviser. This means I have been authorised by the Financial Markets Authority (the government agency that monitors financial advisers) to provide the financial adviser services described below.

How can I help you?

I have been authorised to provide you with financial adviser services of the following categories:

- I. Financial advice
- II. Investment planning services
- III. Discretionary investment management services

When I do this, I will be able to give you advice/provide a service about -

- * financial products provided by only 1 organisation.
- * financial products provided by a small number of organisations (2 to 5 organisations).
- R financial products provided by a broad range of organisations (more than 5 organisations).

How do I get paid for the services that I provide to you?

Payment type	Description
R Fees only	My services are only paid for by the fees that you pay. I do not receive payments from other people or organisations that might influence my advice.
R Fees	My services are paid for by the fees that you pay as well as in other ways.
R Commissions	There are situations in which Bay Financial Partners Limited will be paid by other organisations. How much that payment will be depends on the decisions that you make.
R Extra payments from my principal	I may receive extra payments from Bay Financial Partners Limited depending upon the decisions that you make.
R Non-financial benefits from other organisations	Other organisations may give me and Bay Financial Partners Limited non-financial benefits depending on the decisions that you make.

I am required to tell you the specific fees, commissions, extra payments, and other benefits that I have received or will, or may, receive in relation to the services that I provide to you. I must tell you these things before I give you advice/provide a service or, if that is not practicable, as soon as practicable after I give you that advice/provide that service.

What are my obligations?

As an Authorised Financial Adviser, I must comply with the Code of Professional Conduct for Authorised Financial Advisers. I also have other obligations under the Financial Advisers Act 2008 (including regulations made under that Act) and under the general law

What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please tell me or contact the office and speak to the Practice Manager, so that they can try to fix the problem.

You may contact the internal complaints scheme by calling the office on 07 578 3863 and speaking with the Practice Manager.

If we cannot agree on how to fix the issue, or if you decide not to use the internal complaints scheme, you can contact The Insurance & Financial Services Ombudsman Scheme (“IFSO Scheme”) of which we are a member. This service will cost you nothing, and will help us resolve any disagreements.

You can contact: The Insurance & Financial Services Ombudsman Scheme (“IFSO Scheme”) at

Address: P O Box 10845, Wellington 6143.

Telephone number: 0800 888 202 or +64 4 499 7612

Website: <http://www.ifso.nz>

If you need to know more, where can you get more information?

If you have a question about anything in this disclosure statement or you would like to know anything more about me, please ask me. If you have a question about financial advisers generally, you can contact the Financial Markets Authority.

How am I regulated by the Government?

You can check that I am a registered financial services provider and an Authorised Financial Adviser at <http://www.fspr.govt.nz>

The Financial Markets Authority authorises and regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings.

You can report information or complain about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under **What should you do if something goes wrong?**).

Declaration

I, Jonathan Charles York, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Signed: 

Enclosure: Client acknowledgement

This Page Deliberately Left Blank



Bay Financial Partners Limited
PO Box 843
Seventh Avenue
Tauranga 3140

Attention: Practice Manager

Dear Sir

Client acknowledgement of Primary Disclosure Statement

I/We, the undersigned acknowledge receipt of the Primary Disclosure Statement version 1.9 dated 18th September 2017 for Jonathan Charles York of Bay Financial Partners Limited on behalf of **Bay Financial Partners Limited**.

(please add any names missing below, cross out any that are not relevant and sign and return to Bay Financial Partners Limited by post or fax +64 28 2554 8206 or email admin@bayfinancialpartners.co.nz)

