

## ***Disclosure Statement Andrew Mark Parkinson***

Name of financial adviser: Andrew Mark Parkinson, FSP No: 55083

Physical address: Level 1, 556 Cameron Road, Tauranga 3110

Postal address: PO Box 843  
Seventh Avenue  
Tauranga 3140

Trading name: Bay Financial Partners Limited

Telephone number: +64 7 578 3863

Fax number: +64 28 2554 8206

Email address: admin@bayfinancialpartners.co.nz

This disclosure statement was prepared on: 18<sup>th</sup> September 2017

### **It is important that you read this document**

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

### **What sort of adviser am I?**

I am a registered financial adviser (RFA) but not an authorised financial adviser (AFA).

I can give advice about:

All the information and advice provided by me is deemed to be a "class service" under the terms of the Financial Advisers Act 2008; and It is not personalised for you.

I can provide you advice which is not personalised to your needs, and does not take into account your goals, objectives or personal circumstances, on the following financial products:

- Shares
- Bonds
- Managed funds and unit trusts
- Fixed deposits
- KiwiSaver

I can tell you how to go about buying and selling these types of products and assist you to perform transactions on your behalf, but I cannot give you personal financial advice on these types of products. My advice does not constitute advice of a personal, legal, tax, accounting or other nature to any person.

I can provide you advice which is personalised to your needs on the following insurance products

- Life, Health and Trauma Insurance



### **What should you do if something goes wrong?**

If you have a problem, concern, or complaint about any part of my service, please tell me or contact the office and speak to the Practice Manager, so that they can try to fix the problem.

If we cannot agree on how to fix the issue, or if you decide not to use the internal complaints scheme, you can contact The Insurance & Financial Services Ombudsman Scheme ("IFSO Scheme") of which we are a member. This service will cost you nothing, and will help us resolve any disagreements.

You can contact: The Insurance & Financial Services Ombudsman Scheme  
("IFSO Scheme") at

Address: P O Box 10845, Wellington 6143.

Telephone number: 0800 888 202 or +64 4 499 7612

Website: <http://www.ifso.nz>

### **How am I regulated by the Government?**

You can check that I am a registered financial services provider at <http://www.fspr.govt.nz>.

The Financial Markets Authority regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings.

You can report information or complain about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under **What should you do if something goes wrong?**).

### **Declaration**

I, Andrew Mark Parkinson, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Signed:

A handwritten signature in blue ink, appearing to read "AM Parkinson".

Enclosure: Client acknowledgement

Bay Financial Partners Limited  
PO Box 843  
Seventh Avenue  
Tauranga 3140

Attention: Practice Manager

Dear Sir

**Client acknowledgement of Disclosure Statement**

I/We, the undersigned acknowledge receipt of the Disclosure Statement version 1.9 dated 18<sup>th</sup> September 2017 for Andrew Mark Parkinson of Bay Financial Partners Limited on behalf of **Bay Financial Partners Limited**.

I/We also acknowledge the advice being provided to us by Andrew Mark Parkinson is not personalised for me/us and does not take into account my/our investment objectives, goals, personal financial situation or risk preference.

*(please add any names missing below, cross out any that are not relevant and sign and return to Bay Financial Partners Limited by post or fax +64 28 2554 8206 or email [admin@bayfinancialpartners.co.nz](mailto:admin@bayfinancialpartners.co.nz))*